

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD		YYYY	MM	DD
2014	09	02	to	2014	12	31

Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name

LITTELL

Given Name(s)

MARK

Name of office for which the candidate sought election

MAYOR

Ward name or no. (if any)

Name of Municipality

CITY OF BRANTFORD

Spending limit issued by clerk

\$62,797.60

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

Box B: Declaration

I, MARK LITTELL, a candidate in the municipality of CITY OF BRANTFORD, hereby declare that to the best of my knowledge and belief that those financial statements and attached supporting schedules are true and correct

Declared before (clerk or commissioner)

in the CITY OF BRANTFORDon (yyyy/mm/dd) 2015/02/23

Signature of Clerk or Commissioner

2015/02/23

Date Filed in the Clerk's Office (yyyy/mm/dd)



Signature of Candidate

Joseph Richard Levac,
a Commissioner, etc.,
Province of Ontario, for the
Corporation of the City of Brantford,
Expires May 29, 2018

Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution TD Canada Trust
 Amount borrowed \$ 0.00

INCOME

Total amount of all contributions (From line 1A in Schedule 1) + \$ 11,253.01
 Refund of nomination filing fee + \$ 200.00
 Sign deposit refund + \$ _____
 Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2) + \$ _____
 Interest earned by campaign bank account + \$ _____
 Other (provide full details)
 1. _____ + \$ _____
 2. _____ + \$ _____
 3. _____ + \$ _____
Total Campaign Income (Do not include loan) = \$ 11,453.01 C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses subject to spending limit

Nomination filing fee + \$ 200.00
 Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1) + \$ _____
 Advertising + \$ 5,979.48
 Brochures/flyers + \$ 1,666.74
 Signs (including sign deposit) + \$ 3,659.79
 Meetings hosted + \$ _____
 Office expenses incurred until voting day + \$ _____
 Phone and/or Internet expenses incurred until voting day + \$ _____
 Salaries, benefits, honoraria, professional fees incurred until voting day + \$ _____
 Bank charges incurred until voting day + \$ _____
 Interest charged on loan until voting day + \$ _____
 Other (provide full details)
 1. _____ + \$ _____
 2. _____ + \$ _____
 3. _____ + \$ _____
Total Expenses subject to spending limit = \$ 11,506.01 C2

Expenses not subject to spending limit

Accounting and audit + \$ _____
 Cost of fund-raising events/activities (list details in Part IV of Schedule 2) + \$ _____
 Voting day party/appreciation notices + \$ _____
 Office expenses incurred after voting day + \$ _____
 Phone and/or Internet expenses incurred after voting day + \$ _____
 Salaries, benefits, honoraria, professional fees incurred after voting day + \$ _____
 Bank charges incurred after voting day + \$ 19.00
 Interest charged on loan after voting day + \$ _____
 Expenses related to recount + \$ _____
 Expenses related to controverted election + \$ _____
 Expenses related to compliance audit + \$ _____
 Expenses related to candidate's disability (provide full details)
 1. _____ + \$ _____
 2. _____ + \$ _____
 3. _____ + \$ _____
 Other (provide full details)
 1. _____ + \$ _____
 2. _____ + \$ _____
 3. _____ + \$ _____
Total Expenses not subject to spending limit = \$ 19.00 C3

Total Campaign Expenses (C2 + C3) = \$ 11,525.01 C4

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	(72.00)	D1
Eligible deficit carried forward by the candidate from the last election	– \$	0.00	D2
Total (D1 – D2)	= \$	<u>(72.00)</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	– \$	0.00	
Surplus (or deficit) for the campaign	= \$	<u>(72.00)</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____

<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total
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Additional information is listed on separate supplementary attachment

Total

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Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	+ \$ _____	2A
Number of tickets sold	X _____	2B
Total Ticket Revenue (2A x 2B) (Include in Schedule 1)		= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1	+ \$ _____	
2	+ \$ _____	
3	+ \$ _____	
4	+ \$ _____	
5	+ \$ _____	
Total Part II Revenue (include in Schedule 1)		= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1	+ \$ _____	
2	+ \$ _____	
3	+ \$ _____	
4	+ \$ _____	
5	+ \$ _____	
Total Part III Revenue (include in Box C)		= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1	+ \$ _____	
2	+ \$ _____	
3	+ \$ _____	
4	+ \$ _____	
5	+ \$ _____	
6	+ \$ _____	
7	+ \$ _____	
8	+ \$ _____	
Total Part IV Expenses (include in Box C)		= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CHARTERED PROFESSIONAL ACCOUNTANT

Municipality

CITY OF BRANTFORD

Date (yyyy/mm/dd)

2015-02-18

Contact Information

Name

Last Name

COCHRANE

First Name

BRIAN

Licence Number

1-9183

Address

Suite/Unit No.

Street No.

8

Street Name

MAGNOLIA STREET

City/Town

BRANTFORD

Province

ON

Postal Code

N3R 1P7

Telephone No. (including area code)

(519) 756-1565 ext.

Fax No.

(519)756-7876

Email Address

bcochrane@rogers.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Schedule 1A
Extension of Schedule 1 – Contributions

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
The Keg Steakhouse & Bar (718695 Ontario Inc.)	1170 Upper James Street, Hamilton, ON, L9C 3B1	Jim Drury	Jim Drury	500.00
Bert and Son's Cartage Limited	31 Ewart Ave, Brantford, ON, N3T 5M1	Brian DeRosse	Brian DeRosse	500.00
Risi Holdings Ltd. Maria's Pizza	428 ½ Colborne Street, Brantford, ON, N3S 3N6	Maria Risi	Maria Risi	200.00
The Market Street Health Facility Partnership	129 Market Street, Brantford, ON, N3T 2Z9	G. Boyd Van Allen	G. Boyd Van Allen	300.00
			Total	5,550.01



Brian D. Cochrane
CHARTERED ACCOUNTANT

INDEPENDENT AUDITORS' REPORT

To: Mark Littell, Candidate

Report on the Financial Statements

We have audited the financial statements of Mark Littell, candidate for the campaign period from September-02-14 to December-31-14 relating to the election held on October-27-14. The financial statements consist of the statement of campaign period income and expenses and the statement of assets and liabilities as at December-31-14.

Candidate's Responsibility for the Financial Statements

The candidate, Mark Littell, is responsible for the preparation and fair presentation of these financial statements in accordance with the provisions of the Municipal Elections Act, 1996, as amended and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers the internal control relevant to the candidate's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate's internal control. An audit also includes evaluating the overall presentation of the financial statements.

We believe that the audit evidence obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all contributions of goods and services, and income and expenses. Accordingly, our verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of Mark Littell, candidate, in accordance with the accounting principles established by the Municipal Elections Act, 1996 and we were not able to determine whether any adjustments might be necessary to income and expenses.

Qualified Opinion

In our opinion, except for the effect of adjustments, if any, which we might have determined to be necessary had we been able to satisfy ourselves as to the completeness of the records as described in the preceding paragraph, these financial statements present fairly the information contained in the accounting records on which the financial statements are based in accordance with the accounting treatment prescribed by the Act.

The Act does not require us to report, nor was it practicable for us to determine that contributions reported included only those which may be properly retained in accordance with the provisions of the Act.



CHARTERED PROFESSIONAL ACCOUNTANT
Licensed Public Accountant

Brantford, Ontario
2/18/15