

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 4	0 1	0 1

 to

YYYY	MM	DD
2 0 1 4	1 0	2 7

Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

 Last Name
WROBEL

 Given Name(s)
DAVE

 Name of office for which the candidate sought election
MAYOR

Ward name or no. (if any)

 Name of Municipality
THE CITY OF BRANTFORD

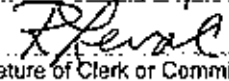
 Spending limit issued by clerk
\$ 62,797.60

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

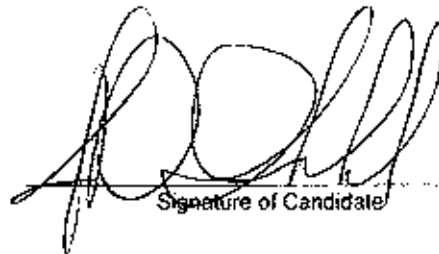
Box B: Declaration

I, DAVE WROBEL, a candidate in the municipality of BRANTFORD, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

 in the CITY OF BRANTFORD
on (yyyy/mm/dd) 2015/03/27

Signature of Clerk or Commissioner

2015/03/27
Date Filed in the Clerk's Office (yyyy/mm/dd)


Signature of Candidate

Joseph Richard Levac,
a Commissioner, etc.,
Province of Ontario, for the
Corporation of the City of Brantford.
Expires May 29, 2018

Box C: Statement of Campaign Income and Expenses**LOAN**Name of bank or recognized lending institution TEACHERS CREDIT UNION - BRANTFORD; ACCT #: 6305494

Amount borrowed \$ _____

INCOME

Total amount of all contributions (From line 1A in Schedule 1)	+ \$	20,874.92	
Refund of nomination filing fee	+ \$	200.00	
Sign deposit refund	+ \$		
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$		
Interest earned by campaign bank account	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
Total Campaign Income (Do not include loan)	= \$	21,074.92	C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

Nomination filing fee	+ \$	200.00	
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+ \$		
Advertising	+ \$	10,919.19	
Brochures/flyers	+ \$	2,039.36	
Signs (including sign deposit)	+ \$	4,958.35	
Meetings hosted	+ \$	1,000.00	
Office expenses incurred until voting day	+ \$	955.37	
Phone and/or Internet expenses incurred until voting day	+ \$	421.79	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$		
Bank charges incurred until voting day	+ \$	388.03	
Interest charged on loan until voting day	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
Total Expenses subject to spending limit	= \$	20,882.09	C2

Expenses not subject to spending limit

Accounting and audit	+ \$		
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	+ \$	192.83	
Voting day party/appreciation notices	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or Internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$		
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
Total Expenses not subject to spending limit	= \$	192.83	C3

Total Campaign Expenses (C2 + C3) = \$ 21,074.92 C4

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	<u>0.00</u>	D1
Eligible deficit carried forward by the candidate from the last election	- \$	<u> </u>	D2
Total (D1 – D2)	= \$	<u>0.00</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u> </u>	
Surplus (or deficit) for the campaign	= \$	<u>0.00</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____.

Name	Full Address	Amount \$
		1,250.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Market Place Solutions Inc.	PO Box 598, 2013 Chiefswood Road, Oshweken, Ontario. N0A 1M0	Stephanie Styers	1-519-732-5590	250.00
The Roofing Specialist Inc.	363 Paris Road, Brantford, Ontario. N3T 5L8	Dan Dery	1-519-861-5100	250.00
Business Without Boundries	Box 686, 6 Thompson Road, St. George, Ontario N0E 1N0	call direct	1-519-732-7427	250.00
Seberras Professional Services Ltd.	PO Box 673, St George, Ontario. N0E 1N0	call direct	1-519-448-3565	250.00
Atfield Property Inspections	11 Garden Ave. Brantford, Ontario. N3T 5M1	Jeff Atfield	1-519-7178832	300.00
DeGrandis Concrete Pumping	27 Middleton Street, Brantford, Ontario. N3S 7X1	Hugo DeGrandis	1-226-972-7867	400.00
Welton & Innes G.P. Inc	3700 Steels Avenue West, Ste. 800, Vaughn, Ontario. L4L 3M9	Edward Sorbara	1-416-798-7254	750.00
				2,450.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Table 3: Contributions in goods or services from individuals other than candidate or spouse
(Note: must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total:	

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

+ \$ _____ **2A**

Number of tickets sold

X _____ **2B**

Total Ticket Revenue (2A X 2B) (include in Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

- 1. _____ + \$ _____
- 2. _____ + \$ _____
- 3. _____ + \$ _____
- 4. _____ + \$ _____
- 5. _____ + \$ _____

Total Part II Revenue (include in Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

- 1. _____ + \$ _____
- 2. _____ + \$ _____
- 3. _____ + \$ _____
- 4. _____ + \$ _____
- 5. _____ + \$ _____

Total Part III Revenue (include in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

- 1. _____ + \$ _____
- 2. _____ + \$ _____
- 3. _____ + \$ _____
- 4. _____ + \$ _____
- 5. _____ + \$ _____
- 6. _____ + \$ _____
- 7. _____ + \$ _____
- 8. _____ + \$ _____

Total Part IV Expenses (include in Box C)

= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

City of Brantford

Date (yyyy/mm/dd)

*2015-03-26***Contact Information**

Last Name

Steeckly

First Name

Bryon

Licence Number

C30487407

Address

Suite/Unit No.

Street No.

Street Name

25 King St

City/Town

Brantford ON

Province

Ont.

Postal Code

N3T 3C4

Telephone No. (including area code)

519-751-1778 ext.

Fax No.

519-751-1559

Email Address

bsteckly@pudgettbrant.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

INDEPENDENT AUDITORS' REPORT

To: Dave Wrobel, Candidate

Report on the Financial Statements

We have audited the Financial Statement – Auditor's Report Form 4 of Dave Wrobel, candidate for the campaign period from April 1, 2014 to December 31, 2014 relating to the election being held on October 27, 2014. The financial statements consist of the statement of campaign period income and expenses.

Candidates Responsibility for the Financial Statements

The candidate, Dave Wrobel, is responsible for the preparation and fair presentation of these financial statements in accordance with the provisions of the Municipal Elections Act, 1996, as amended and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on my audit. We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement. In making those risk assessments, the auditor considers the internal control relevant to the candidate's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the candidate's internal control. An audit also includes evaluating the appropriateness of the accounting policies used and the reasonableness of accounting estimates, as well as evaluating the presentation of the financial statements.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services and receipts and disbursements. Accordingly our verification of these transactions was limited to ensuring that the financial information reflect the amounts recorded in the accounting records of Dave Wrobel, Candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and we were not able to determine whether any adjustments might be necessary to income and expenses, and surplus or deficit.

Qualified Opinion

In our opinion, except for the effects of adjustments, if any, that the Financial Statement – Auditor's Report Form 4 presents fairly, in all material respects, the information contained in the accounting records of Dave Wrobel, Candidate, in accordance with the accounting principles established by the Municipal Elections Act, 1996.

Brantford, ON
March 26, 2015



Padgett Business Services
Licensed Public Accountants